



Course Specifications

Course Title:	Financial Markets and Commercial Banks
Course Code:	FIN 370
Program:	Bachelor of Finance
Department:	Finance
College:	College of Business
Institution:	Prince Sultan University

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A. Course Identification

1. Credit hours:
2. Course type
a. University <input type="checkbox"/> College <input type="checkbox"/> Department <input checked="" type="checkbox"/> Others <input type="checkbox"/>
b. Required <input checked="" type="checkbox"/> Elective <input type="checkbox"/>
3. Level/year at which this course is offered: Junior
4. Pre-requisites for this course (if any): BUS 101, ACC 101
5. Co-requisites for this course (if any): NONE

6. Mode of Instruction (mark all that apply)

No	Mode of Instruction	Contact Hours	Percentage
1	Traditional classroom	45	100%
2	Blended	-	-
3	E-learning	-	-
4	Correspondence	-	-
5	Other	-	-

7. Actual Learning Hours (based on academic semester)

No	Activity	Learning Hours
Contact Hours		
1	Lecture	45
2	Laboratory/Studio	-
3	Tutorial	-
4	Others (specify)	-
	Total	45
Other Learning Hours*		
1	Study	15
2	Assignments	8
3	Library	-
4	Projects/Research Essays/Theses	10
5	Others (specify)	-
	Total	33

* The length of time that a learner takes to complete learning activities that lead to achievement of course learning outcomes, such as study time, homework assignments, projects, preparing presentations, library times

B. Course Objectives and Learning Outcomes

1. Course Description

This course provides an overview of the global financial system in which financial managers and investors operate. We will examine the evolving structure and role of financial markets and financial institutions in providing financial intermediary services to the economy in the dynamic information age. Attention will be given to the financial markets in the context of intense global competition, increased capital mobility and global harmonization due to the recent domestic and international deregulation of financial intermediaries. Additionally, the various forms of risks face by financial intermediaries will be covered, with an emphasis on risk management techniques available to managers of financial institutions like commercial banks.

2. Course Main Objective

Provides an overview of the global financial system in which financial managers and investors operate.

3. Course Learning Outcomes

CLOs		Aligned PLOs
1	Knowledge:	
1.1	State and describe the importance and organization of financial markets.	PLO1
1.2	Understand the specifications of financial institutions and their role in the financial intermediations process.	PLO1
2	Skills :	
2.1	Know how to evaluate and analyze various instruments in money and capital markets, foreign exchange markets, and derivative markets using appropriate valuation methods.	PLO2
2.2	Know how to evaluate the performance of financial institutions such as commercial banks and mutual funds.	PLO2
3	Competence:	
3.1	Able to work in group settings by recognizing diversity of opinions and mutual understanding towards ideas-sharing in solving and analyzing issues and problems related to various functional areas of financial markets and financial institutions.	PLO3a, PLO3b, PLO4a, PLO4b.
3.2	Able to calculate, analyze and present decision suggestions using qualitative and quantitative tools.	PLO3a, PLO3b, PLO4a, PLO4b, PLO5.

C. Course Content

No	List of Topics	Contact Hours
1	Financial Markets: Introduction & Overview	5
2	Money Markets	5
3	Bond Markets	5

4	Stocks Markets	5
5	Foreign Exchange Markets	5
6	Derivative Securities Markets	6
7	Commercial Banks: Industry Overview	4
8	Commercial Banks' Financial Statements and Analysis (FSA)	5
9	Security Firms and Investment Banks	5
10	Mutual Funds and Hedge Funds	5
...		
Total		45

D. Teaching and Assessment

1. Alignment of Course Learning Outcomes with Teaching Strategies and Assessment Methods

Code	Course Learning Outcomes	Teaching Strategies	Assessment Methods
1.0	Knowledge		
1.1	State and describe the importance and organization of financial markets.	Lectures Theoretical Discussions, Multimedia Real life Examples Web based cases	Quiz H.W In class questions
1.2	Understand the specifications of financial institutions and their role in the financial intermediations process.	Lectures Theoretical Discussions, Multimedia Real life Examples Web based cases	Exam Quiz H.W In class questions
...			
2.0	Skills		
2.1	Know how to evaluate and analyze various instruments in money and capital markets, foreign exchange markets, and derivative markets using appropriate valuation methods.	Real life Examples Web based cases	Exam Quiz H.W In class problem solving questions
2.2	Know how to evaluate the performance of financial institutions such as commercial banks and mutual funds.	Real life Examples Web based cases	Exam Quiz H.W In class problem solving questions
...			
3.0	Competence		
3.1	Able to work in group settings by recognizing diversity of opinions and mutual understanding towards ideas-sharing in solving and analyzing issues and problems related to various functional areas of financial markets and financial institutions.	Class discussions Presentation Project	Exam Quiz H.W In class problem solving questions
3.2	Able to calculate, analyze and present decision suggestions using qualitative and quantitative tools.	Project Class discussion	Exam Quiz H.W In class problem solving questions
...			

2. Assessment Tasks for Students

#	Assessment task*	Week Due	Percentage of Total Assessment Score
1	Quiz 1	4	5%
2	Major Exam 1	7	15%
3	Quiz 2	10	5%
4	Major Exam 2	13	15%
5	Class discussions	Ongoing	5%
6	Assignments	Ongoing	5%
7	Basic Research, Reading and analysis (Group work)	13	10%
8	Final Exam	15	40%
	Total		100%

*Assessment task (i.e., written test, oral test, oral presentation, group project, essay, etc.)

E. Student Academic Counseling and Support

Arrangements for availability of faculty and teaching staff for individual student consultations and academic advice :

Office Hours: 10 hours
 Academic Advising: 10 hours
 Total : 20 hours

F. Learning Resources and Facilities

1. Learning Resources

Required Textbooks	Saunders, A. and Cornett, M. M. (2012) <i>Financial Markets and Institutions</i> . 6 th Edition, McGraw-Hill.
Essential References Materials	Cecchetti, Stephen G. (2008) <i>Money, Banking and Financial Markets</i> , 2 nd Edition, McGraw-Hill.
Electronic Materials	<ul style="list-style-type: none"> - Electronic materials are available on Moodle - Web Sites: www.google.com/finance; www.cnbc.com; www.tadawul.com.sa www.finance.yahoo.com www.investopedia.com www.NYSE.com www.amex.com www.Bloomberg.com https://lms.psu.edu.sa/course/view.php?id=3970
Other Learning Materials	Microsoft Office: Excel

2. Facilities Required

Item	Resources
Accommodation (Classrooms, laboratories, demonstration rooms/labs, etc.)	Classroom
Technology Resources (AV, data show, Smart Board, software, etc.)	Computer Screen, whiteboard, Internet access
Other Resources (Specify, e.g. if specific laboratory equipment is required, list requirements or attach a list)	N.A.

G. Course Quality Evaluation

Evaluation Areas/Issues	Evaluators	Evaluation Methods
Effectiveness of teaching and assessment	Students	Students evaluation survey
Extent of Achievement of CLOs	Students	Course Exit Survey
Quality of Learning/Assessment	Chairperson/Peers	Class Observation

Evaluation areas (e.g., Effectiveness of teaching and assessment, Extent of achievement of course learning outcomes, Quality of learning resources, etc.)

Evaluators (Students, Faculty, Program Leaders, Peer Reviewer, Others (specify))

Assessment Methods (Direct, Indirect)

H. Specification Approval Data

Council / Committee	<i>Aykehasaw</i>
Reference No.	AY19/20 – 5 – 192/1
Date	26/3/2020