



# Course Specifications

<b>Course Title:</b>	<b>FINANCIAL MARKETS AND INSTITUTIONS</b>
<b>Course Code:</b>	<b>FIN553</b>
<b>Program:</b>	<b>MBA</b>
<b>Department:</b>	<b>FINANCE</b>
<b>College:</b>	<b>BUSINESS ADMINISTRATION</b>
<b>Institution:</b>	<b>PRINCE SULTAN UNIVERSITY</b>

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## A. Course Identification

<b>1. Credit hours:</b> 3
<b>2. Course type</b>
a. University <input type="checkbox"/> College <input type="checkbox"/> Department <input checked="" type="checkbox"/> Others <input type="checkbox"/>
b. Required <input type="checkbox"/> Elective <input checked="" type="checkbox"/>
<b>3. Level/year at which this course is offered:</b> 2
<b>4. Pre-requisites for this course (if any):</b> FIN550
<b>5. Co-requisites for this course (if any):</b> NA

### 6. Mode of Instruction (mark all that apply)

No	Mode of Instruction	Contact Hours	Percentage
1	Traditional classroom	45	100
2	Blended	NA	NA
3	E-learning	NA	NA
4	Correspondence	NA	NA
5	Other	NA	NA

### 7. Actual Learning Hours (based on academic semester)

No	Activity	Learning Hours
<b>Contact Hours</b>		
1	Lecture	45
2	Laboratory/Studio	NA
3	Tutorial	NA
4	Others (specify)	NA
	<b>Total</b>	45
<b>Other Learning Hours*</b>		
1	Study	3 – 4 hrs / week
2	Assignments	1 hr / week
3	Library	Depends
4	Projects/Research Essays/Theses	1 hr / week
5	Others (specify)	NA
	<b>Total</b>	5 – 6 hrs / week

\* The length of time that a learner takes to complete learning activities that lead to achievement of course learning outcomes, such as study time, homework assignments, projects, preparing presentations, library times

## B. Course Objectives and Learning Outcomes

### 1. Course Description

The study of financial markets and institutions is one of the most important areas in finance. The course is designed to enable students to understand the different structures of financial markets and the various types of financial institutions which play the intermediary and regulatory roles in ensuring the proper working of the financial markets. In addition, students will be exposed to the determinants of interest rates in the economy, and its role in financial assets valuations. The markets discussed include the equity, money, bond, derivatives, mortgages and foreign exchange markets.

### 2. Course Main Objective

To expose students to the infrastructure of the financial institutions and financial markets, including their function, major participants and instruments available in the different markets.

### 3. Course Learning Outcomes

CLOs		Aligned PLOs
<b>1</b>	<b>Knowledge:</b>	
1.1	Explain the background of various fundamentals of financial markets, institutions, and the instruments that allow the movement of capital, their timings, and the risks involved therein.	PLO1
1.2	Explain why, and how financial markets and institutions work.	PLO1
1.3	Demonstrate an understanding of the role of financial markets and institutions in the economy.	PLO1
1.4	Demonstrate an understanding of the various risks inherent in the activities of financial markets and institutions.	PLO1
<b>2</b>	<b>Skills :</b>	
2.1	Evaluate and analyze various instruments in money and capital markets, foreign exchange markets, and derivative markets using appropriate valuation methods.	PLO3
2.2	Analyze risks inherent in financial markets and institutions, and apply techniques that can be used to manage them	PLO3
2.3	Indicate the ability to work in group settings by recognizing diversity of opinions and ideas-sharing in solving and analyzing issues and problems related to financial markets and financial institutions.	PLO3
2...		
<b>3</b>	<b>Competence:</b>	
3.1	Demonstrate satisfactory written and oral communication skills in analyzing, making and presenting ideas and decisions related to theoretical and practical issues of financial markets and institutions.	PLO2, PLO4, PLO5
3.2	Demonstrate competency in using various tools and applications such as computer programs and financial calculators.	
3.3		
3...		

## C. Course Content

No	List of Topics	Contact Hours
1	Introduction to Financial Markets and Institutions	3
2	Determinants of Interest Rates	3
3	Interest Rates and Security Valuation	3
4	Money Markets	3
5	Bond Markets	3
6	Mortgage Markets	3
7	Stock Markets	3
8	Foreign Exchange Markets	3
9	Derivatives Markets	3
10	Commercial Banks	3
11	Securities Firms and Investment Banks	3
12	Investment Companies*	6
13	Other Lending Institutions* Insurance Companies* Pension Funds*	6
		45

## D. Teaching and Assessment

### 1. Alignment of Course Learning Outcomes with Teaching Strategies and Assessment Methods

Code	Course Learning Outcomes	Teaching Strategies	Assessment Methods
<b>1.0</b>	<b>Knowledge</b>		
1.1	Explain the background of various fundamentals of financial markets, institutions, and the instruments that allow the movement of capital, their timings, and the risks involved therein.	Lecture, class discussions	Quiz, exam
1.2	Explain why, and how financial markets and institutions work.	Lecture, class discussions	Quiz, exam
1.3	Demonstrate an understanding of the role of financial markets and institutions in the economy.	Lecture, class discussions	Quiz, exam
1.4	Demonstrate an understanding of the various risks inherent in the activities of financial markets and institutions.	Lecture, class discussions	Quiz, exam
<b>2.0</b>	<b>Skills</b>		
2.1	Evaluate and analyze various instruments in money and capital markets, foreign exchange markets, and derivative markets using appropriate valuation methods.	Lecture, class discussions	Quiz, exam
2.2	Analyze risks inherent in financial markets and institutions, and apply techniques that can be used to manage them	Lecture, class discussions	Quiz, exam

Code	Course Learning Outcomes	Teaching Strategies	Assessment Methods
2.3	Indicate the ability to work in group settings by recognizing diversity of opinions and ideas-sharing in solving and analyzing issues and problems related to financial markets and financial institutions.	Lecture, class discussions, group project	Written report, group presentation
<b>3.0</b>	<b>Competence</b>		
3.1	Demonstrate satisfactory written and oral communication skills in analyzing, making and presenting ideas and decisions related to theoretical and practical issues of financial markets and institutions.	Lecture, class discussions, group project	Exam, written report, presentation
3.2	Demonstrate competency in using various tools and applications such as computer programs and financial calculators.	Individual assignment, group project	Written report, presentation
...			

## 2. Assessment Tasks for Students

#	Assessment task*	Week Due	Percentage of Total Assessment Score
1	Quiz	Week 10	10%
2	Major I Major II	5 – 7 11 – 12	30%
3	Individual Assignment Group Project	As assigned 14 – 15	10% 20%
4	Final Examination	16-05-2020	30%
5			
6			
7			
8			

\*Assessment task (i.e., written test, oral test, oral presentation, group project, essay, etc.)

## E. Student Academic Counseling and Support

Arrangements for availability of faculty and teaching staff for individual student consultations and academic advice :

Instructor's schedule and office hours information is posted on LMS and at the instructor's office.

## F. Learning Resources and Facilities

### 1. Learning Resources

<b>Required Textbooks</b>	Saunders, and Cornett, Financial Markets and Institutions, 7th International Edition, McGraw-Hill.
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<b>Essential References Materials</b>	Other financial markets and financial institutions textbooks, any online materials
<b>Electronic Materials</b>	Posted/uploaded on LMS
<b>Other Learning Materials</b>	Bloomberg, Yahoo Finance, Tadawul, SAMA, CMA, etc.

## 2. Facilities Required

Item	Resources
<b>Accommodation</b> (Classrooms, laboratories, demonstration rooms/labs, etc.)	Classroom which can accommodate about 20 students
<b>Technology Resources</b> (AV, data show, Smart Board, software, etc.)	Smart board
<b>Other Resources</b> (Specify, e.g. if specific laboratory equipment is required, list requirements or attach a list)	NA

## G. Course Quality Evaluation

Evaluation Areas/Issues	Evaluators	Evaluation Methods
Achievement of CLOs	Students	Course exit survey
Effectiveness of teaching	Students	Course satisfaction survey

**Evaluation areas** (e.g., Effectiveness of teaching and assessment, Extent of achievement of course learning outcomes, Quality of learning resources, etc.)

**Evaluators** (Students, Faculty, Program Leaders, Peer Reviewer, Others (specify))

**Assessment Methods** (Direct, Indirect)

## H. Specification Approval Data

<b>Council / Committee</b>	
<b>Reference No.</b>	
<b>Date</b>	