



Course Specifications (Postgraduate Degree)

| | |
|----------------------|------------------------------------|
| Course Title: | Financial Markets and Institutions |
| Course Code: | FIN250 |
| Program: | BSc. Finance |
| Department: | Finance |
| College: | Business Administration |
| Institution: | Prince Sultan University |

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A. Course Identification

| | |
|---|--|
| 1. Credit hours: | 3 |
| 2. Course type | <input checked="" type="checkbox"/> Required <input type="checkbox"/> Elective |
| 3. Level/year at which this course is offered: | 2 nd & 3 rd year |
| 4. Pre-requisites for this course (if any): | FIN310 Principles of Finance |
| 5. Co-requisites for this course (if any): | NA |

6. Mode of Instruction (mark all that apply)

| No | Mode of Instruction | Contact Hours* | Percentage |
|----|-----------------------|----------------|----------------|
| 1 | Traditional classroom | Maximum of 15 | Maximum of 33% |
| 2 | Blended | | |
| 3 | E-learning | Minimum of 30 | Minimum of 67% |
| 4 | Distance learning | | |
| 5 | Other | | |

7. Actual Learning Hours (based on academic semester)

| No | Activity | Learning Hours |
|--------------|--|----------------|
| 1 | Lecture | >45 |
| 2 | Laboratory/Studio | NA |
| 3 | Seminars | NA |
| 4 | Others (specify) – Quiz, mid-term and final exams, assignments and project | >15 |
| Total | | >60 |

*Based on a minimum of 10-week online classes to start the new 2nd semester 2020/2021 as instructed by the MoE, which is subject to further announcement/decision.

B. Course Objectives and Learning Outcomes

1. Course Description

This course provides an overview of the global financial system in which financial managers and investors operate. We will examine the evolving structure and role of financial markets and financial institutions in providing financial intermediary services to the economy in the dynamic information age. Attention will be given to the financial markets in the context of intense global competition, increased capital mobility and global harmonization due to the recent domestic and international deregulation of financial intermediaries. Additionally, the various forms of risks face by financial intermediaries will be covered, with an emphasis on risk management techniques available to managers of financial institutions like commercial banks.

2. Course Main Objective

Provides an overview of the global financial system in which financial managers and investors operate.

3. Course Learning Outcomes

| Course Learning Outcomes (CLOs) | | Aligned PLOs* |
|---------------------------------|--|-------------------|
| 1 | Knowledge and Understanding | |
| 1.1 | State and describe the importance and organization of financial markets. | 1 |
| 1.2 | Understand the specifications of financial institutions and their role in the financial intermediations process. | 1 |
| 1... | | |
| 2 | Skills : | |
| 2.1 | Analyze various instruments in money and capital markets, foreign exchange markets, and derivative markets using appropriate valuation methods. | 2, 3 |
| 2.2 | Evaluate the performance of financial institutions such as commercial banks and mutual funds. | 2, 3, 5a, 5b |
| 2.4 | Able to present decisions and suggestions using qualitative and quantitative tools. | 4b, 5a, 5b |
| 2... | | |
| 3 | Values, Autonomy and Responsibility | |
| 3.1 | Able to work in group settings by recognizing diversity of opinions and mutual understanding towards ideas-sharing in solving problems related to financial institutions and markets | 3, 4a, 4b, 5a, 5b |
| 3... | | |

* Program Learning Outcomes

C. Course Content

| No | *List of Topics | Contact Hours |
|--------------|---|---------------|
| 1 | Introduction to Financial Markets and Institutions | 5 |
| 2 | Money Markets | 5 |
| 3 | Bond Markets | 5 |
| 4 | Equity Markets | 5 |
| 5 | Foreign Exchange Markets | 5 |
| 6 | Derivative Markets | 6 |
| 7 | Commercial Banks: Industry Overview | 4 |
| 8 | (Commercial Banks' Financial Statements and Analysis (FSA | 5 |
| 9 | Securities Firms and Investment Banks | 5 |
| 10 | Mutual Funds and Hedge Funds | 5 |
| ... | | |
| Total | | 45 |

*Based on the required/main textbook

D. Teaching and Assessment

1. Alignment of Course Learning Outcomes with Teaching Strategies and Assessment Methods

| Code | Course Learning Outcomes | Teaching Strategies | Assessment Methods |
|------------|--|---|------------------------------------|
| 1.0 | Knowledge and Understanding | | |
| 1.1 | State and describe the importance and organization of financial markets. | Lecture, class discussions | Quiz, exam |
| | Understand the specifications of financial institutions and their role in the financial intermediations process. | Lecture, class discussions | Quiz, exam |
| ... | | | |
| 2.0 | Skills | | |
| 2.1 | Analyze various instruments in money and capital markets, foreign exchange markets, and derivative markets using appropriate valuation methods. | Lecture, class discussions | Quiz, exam |
| 2.2 | Evaluate the performance of financial institutions such as commercial banks and mutual funds. | Lecture, class discussions | Quiz, exam |
| ... | | | |
| 3.0 | Values | | |
| 3.1 | Able to work in group settings by recognizing diversity of opinions and mutual understanding towards ideas-sharing in solving problems related to financial institutions and markets | Lecture, class discussions, group project | Written report, presentation |
| 3.2 | Able to present decisions and suggestions using qualitative and quantitative tools. | Individual assignment, group project | Exam, written report, presentation |
| ... | | | |

2. Assessment Tasks for Students

| # | *Assessment task | **Week Due | Percentage of Total ***Assessment Score |
|---|----------------------------|------------------------|--|
| 1 | Quiz | Periodically – via LMS | 10% |
| 2 | Major 1 | Between week 5 – 7 | 15% |
| 3 | Major 2 | Between week 10 – 12 | 15% |
| 4 | Assignment/Participation | TBA/On-going | 10% |
| 5 | Group Project/Presentation | Week 14 – 15 | 10% |
| 6 | Final Exam | Week 16 – 17 | 40% |
| 7 | | | |
| 8 | Total | | 100% |

*Assessment task (i.e., written test, oral test, oral presentation, group project, essay, etc.).

**Tentative – the final arrangement will be decided and announced by the respective instructor.

***Subject to modification and agreements by all instructors involved, as long as the ratio of 60:40 between coursework and final exam is maintained.

E. Student Academic Counseling and Support

Arrangements for availability of faculty and teaching staff for individual student consultations and academic advice:

Each instructor will specify up to 8 hours of consultation hours per week depending on the instructor's class and administrative schedule, in addition to personal arrangement with the students outside of the official consultation hours

F. Learning Resources and Facilities

1. Learning Resources

| | |
|--------------------------------------|--|
| Required Textbooks | Saunders and Cornett, 2019, Financial Markets and Institutions , 7th International Edition, McGraw-Hill. |
| Essential Reference Materials | Cecchetti, Stephen G. (2008) Money, Banking and Financial Markets, 2 nd ed., McGraw-Hill. Other financial markets and financial institutions textbooks, any online materials |
| Electronic Materials | Class materials posted on LMS/Moodle, MH Connect, http://www.arabianbusiness.com ; www.google.com/finance ; www.cnbc.com ; Bloomberg; tadawul.com.sa; finance.yahoo.com ; www.investopedia.com |
| Other Learning Materials | Business Week, Financial Times, WSJ, Arab News, SAMA, CMA Financial Calculator |

2. Educational and research Facilities and Equipment Required

| Item | Resources |
|--|--|
| Accommodation Classrooms, laboratories, demonstration) (rooms/labs, etc) | Enough space and seats to accommodate students. |
| Technology Resources AV, data show, Smart Board, software,) (,etc | AV, Smart board, Microsoft office and internet connection. |
| Other Resources Specify, e.g. if specific laboratory) equipment is required, list requirements or (attach a list | Any financial markets and institutions textbooks – other than indicated above, or any online references or materials |

*Only if classes were to return to the traditional face-to-face method

G. Course Quality Evaluation

| Evaluation Areas/Issues | Evaluators | Evaluation Methods |
|---|------------|----------------------------|
| Satisfaction of the achievement of CLOs | Students | Course exit survey |
| Effectiveness of teaching | Students | Course satisfaction survey |

| Evaluation Areas/Issues | Evaluators | Evaluation Methods |
|---|--|--|
| Final exam questions | Fellow faculty and the Finance department's Exam Control Committee | Final exam moderation exercise prior to the final exam period |
| Course specification and syllabus | Finance department's Curriculum Committee | Unified course specification and syllabus at the beginning of the semester |
| CLO-PLO assessment according to the program assessment plan | Instructor | Direct assessment (e.g. quiz, major exam, and final exam) or indirect assessment (e.g. course exit survey) methods |

Evaluation Areas/Issues (e.g., Effectiveness of teaching and assessment, Extent of achievement of course learning outcomes, Quality of learning resources, etc.)

Evaluators (Students, Faculty, Program Leaders, Peer Reviewer, Others (specify))

Assessment Methods (Direct, Indirect)

H. Specification Approval Data

| | |
|----------------------------|--|
| Council / Committee | |
| Reference No. | |
| Date | |