



## Course Specifications

<b>Course Title:</b>	Financial Markets and Institutions
<b>Course Code:</b>	FIN250
<b>Program:</b>	BSc. Finance
<b>Department:</b>	Finance
<b>College:</b>	Business Administration
<b>Institution:</b>	Prince Sultan University

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## A. Course Identification

<b>1. Credit hours:</b> 3
<b>2. Course type</b> Department <input checked="" type="checkbox"/> Required <input type="checkbox"/> Elective
<b>3. Level/year at which this course is offered:</b> Year 2/ Semester 2
<b>4. Prerequisites for this course</b> (if any):  FIN210 Principles of Finance
<b>5. Co-requisites for this course</b> (if any):  NA

### 6. Mode of Instruction (mark all that apply)

No	Mode of Instruction	Contact Hours*	Percentage
1	Traditional classroom	45	100%
2	Blended		
3	E-learning		
4	Distance learning		
5	Other		

### 7. Actual Learning Hours (based on academic semester)

No	Activity	Learning Hours
1	Lecture	40
2	Laboratory/Studio	NA
3	Seminars	NA
4	Others (specify) – Quiz, mid-term and final exams, assignments and project	5
<b>Total</b>		45

\*Based on a minimum of 10-week online classes to start the new 2nd semester 2020/2021 as instructed by the MoE, which is subject to further announcement/decision.

## B. Course Objectives and Learning Outcomes

### 1. Course Description

This course provides an overview of the global financial system where financial managers and investors operate. We examine the evolving structure and role of financial markets and financial institutions in providing financial intermediation services to the economy in the dynamic information age. Attention will be given to financial markets in the context of intense global competition, increased capital mobility and global harmonization due to the recent domestic and international deregulation of financial intermediaries. Additionally, the various forms of risks faced by financial intermediaries will be covered, with an emphasis on risk management techniques available to managers of financial institutions such as commercial banks for instance.

## 2. Course Main Objective

- To provide an overview of the global financial system in which financial managers and investors operate.
- To help students explore the mechanisms of different financial institutions and markets and the role they play within the financial industry and in promoting the economic growth of nations.

## 3. Course Learning Outcomes

Course Learning Outcomes (CLOs)		Aligned PLOs*
<b>1</b>	<b>Knowledge and Understanding</b>	
1.1	State and describe the importance and organization of financial markets.	1
1.2	Understand the specifications of financial institutions and their role in the financial intermediations process.	1
1...		
<b>2</b>	<b>Skills :</b>	
2.1	Analyze various instruments in money and capital markets, foreign exchange markets, and derivative markets using appropriate valuation methods.	2, 3
2.2	Evaluate the performance of financial institutions such as commercial banks and mutual funds.	2, 3
2.3	Demonstrate ability to present decisions and suggestions using qualitative and quantitative tools.	4a, 4b
2...		
<b>3</b>	<b>Values, Autonomy and Responsibility</b>	
3.1	Demonstrate ability to work in group settings by recognizing diversity of opinions and mutual understanding towards ideas-sharing in solving problems related to financial institutions and markets	5a
3...		

\* Program Learning Outcomes

## C. Course Content

No	List of Topics*	Contact Hours
1	Introduction to Financial Markets and Institutions	5
2	Money Markets	5
3	Bond Markets	5
4	Equity Markets	5
5	Derivative Markets	6
6	Commercial Banks: Industry Overview	4
7	Commercial Banks' Financial Statements and Analysis (FSA)	5
8	Securities Firms and Investment Banks	5
9	Mutual Funds and Hedge Funds	5
	<b>Total</b>	<b>45</b>

\*Based on the required/main textbook

## D. Teaching and Assessment

### 1. Alignment of Course Learning Outcomes with Teaching Strategies and Assessment Methods

Code	Course Learning Outcomes	Teaching Strategies	Assessment Methods
<b>1.0</b>	<b>Knowledge and Understanding</b>		
1.1	State and describe the importance and organization of financial markets.	Lecture, class discussions	Quiz, exam
	Understand the specifications of financial institutions and their role in the financial intermediations process.	Lecture, class discussions	Quiz, exam
...			
<b>2.0</b>	<b>Skills</b>		
2.1	Analyze various instruments in money and capital markets, foreign exchange markets, and derivative markets using appropriate valuation methods.	Lecture, class discussions	Quiz, exam
2.2	Evaluate the performance of financial institutions such as commercial banks and mutual funds.	Lecture, class discussions	Quiz, exam
...			
<b>3.0</b>	<b>Values</b>		
3.1	Able to work in group settings by recognizing diversity of opinions and mutual understanding towards ideas-sharing in solving problems related to financial institutions and markets	Lecture, class discussions, group project	Written report, presentation
3.2	Able to present decisions and suggestions using qualitative and quantitative tools.	Individual assignment, group project	Exam, written report, presentation
...			

### 2. Assessment Tasks for Students

#	Assessment task*	Week Due**	Percentage of Total Assessment Score***
1	Quiz	Periodically – via LMS	10%
2	Major 1	Between week 5 – 7	15%
3	Major 2	Between week 10 – 12	15%
4	Assignment/Participation	TBA/On-going	10%
5	Group Project/Presentation	Week 14 – 15	10%
6	Final Exam	Week 16 – 17	40%
7			
8	Total		100%

\*Assessment task (i.e., written test, oral test, oral presentation, group project, essay, etc.).

\*\*Tentative – the final arrangement will be decided and announced by the respective instructor.

\*\*\*Subject to modification and agreements by all instructors involved, as long as the ratio of 60:40 between coursework and final exam is maintained.

## E. Student Academic Counseling and Support

**Arrangements for availability of faculty and teaching staff for individual student consultations and academic advice:**

Each instructor will specify up to 8 hours of consultation hours per week depending on the instructor's class and administrative schedule, in addition to personal arrangement with the students outside of the official consultation hours.

## F. Learning Resources and Facilities

### 1. Learning Resources

<b>Required Textbooks</b>	Saunders and Cornett, 2022, <b>Financial Markets and Institutions</b> , 8th International Edition, McGraw-Hill
<b>Essential Reference Materials</b>	Cecchetti, Stephen G. (2008) Money, Banking and Financial Markets, 2 <sup>nd</sup> ed., McGraw-Hill. Other financial markets and financial institutions textbooks, any online materials
<b>Electronic Materials</b>	Class materials posted on LMS/Moodle, MH Connect, <a href="http://www.arabianbusiness.com">http://www.arabianbusiness.com</a> ; <a href="http://www.google.com/finance">www.google.com/finance</a> ; <a href="http://www.cnbc.com">www.cnbc.com</a> ; Bloomberg; tadawul.com.sa; finance.yahoo.com; www.investopedia.com
<b>Other Learning Materials</b>	Business Week, Financial Times, WSJ, Arab News, SAMA, CMA Financial Calculator

### 2. Educational and research Facilities and Equipment Required

Item	Resources
<b>Accommodation</b> (Classrooms, laboratories, demonstration rooms/labs, etc.)	Enough space and seats to accommodate students.
<b>Technology Resources</b> (AV, data show, Smart Board, software, etc.)	AV, Smart board, Microsoft office and internet connection.
<b>Other Resources</b> (Specify, e.g. if specific laboratory equipment is required, list requirements or attach a list)	Any financial markets and institutions textbooks – other than indicated above, or any online references or materials

\*Only if classes were to return to the traditional face-to-face method

## G. Course Quality Evaluation

Evaluation Areas/Issues	Evaluators	Evaluation Methods
Satisfaction of the achievement of CLOs	Students	Course exit survey
Effectiveness of teaching	Students	Course satisfaction survey
Final exam questions	Fellow faculty and the Finance department's Exam Control Committee	Final exam moderation exercise prior to the final exam period

<b>Evaluation Areas/Issues</b>	<b>Evaluators</b>	<b>Evaluation Methods</b>
Course specification and syllabus	Finance department's Curriculum Committee	Unified course specification and syllabus at the beginning of the semester
CLO-PLO assessment according to the program assessment plan	Instructor	Direct assessment (e.g. quiz, major exam, and final exam) or indirect assessment (e.g. course exit survey) methods

**Evaluation Areas/Issues** (e.g., Effectiveness of teaching and assessment, Extent of achievement of course learning outcomes, Quality of learning resources, etc.)

**Evaluators** (Students, Faculty, Program Leaders, Peer Reviewer, Others (specify))

**Assessment Methods** (Direct, Indirect)

## H. Specification Approval Data

<b>Council / Committee</b>	<b>Department Council</b>
<b>Reference No.</b>	<b>AY2022-23/3/221/3</b>
<b>Date</b>	<b>22/11/2022</b>